

## Terms and Conditions

### Campaign Name

Infinite Reward Campaign 2021

### Campaign Period

The CGS-CIMB Securities Sdn. Bhd. ("**CGS-CIMB**") Infinite Reward Campaign 2021 ("**the Campaign**") will commence from 12<sup>th</sup> April 2021 until 15<sup>th</sup> October 2021, both dates inclusive ("**Campaign Period**").

### Eligibility

1. Subject always to the terms and conditions contained herein, the Campaign is open to: -
  - (a) new retail clients of CGS-CIMB who open a new conventional or Islamic trading account with CGS-CIMB ("Account") and trade online and/or offline in the securities listed on Bursa Malaysia Securities Berhad ("**BMSB**") and / or foreign stock exchanges recognised by BMSB via the Account during the Campaign Period;
  - (b) existing retail clients of CGS-CIMB who trade online and/or offline in the securities listed on BMSB and / or foreign stock exchanges recognised by BMSB via the Account during the Campaign Period.

**("collectively known as "Eligible Clients").**

No Campaign participation form is required to be filled in for purpose of participating in the Campaign and the Eligible Clients who fulfill the aforementioned eligibility criteria will be enrolled automatically.

- (c) The following persons or accounts are NOT eligible to participate in the Campaign:
  - i. Institutional and Corporate clients of CGS-CIMB and Private Banking clients of CIMB Investment Bank Berhad; or
  - ii. Existing or new Initial Public Offer financing ("**IPO**") or Employee Share Option Scheme financing ("**ESOS**") accounts, including financing for placement of shares; or
  - iii. Derivatives trading accounts maintained with CGS-CIMB Futures Sdn. Bhd. ("**CGS-CIMB Futures**")
2. Notwithstanding the above, CGS-CIMB has full discretion to determine who shall be eligible to participate in the Campaign.

### Campaign Mechanics

3. Eligible Clients who meet the Accumulated Coins as set out in the table below within the Campaign Period ("**Qualified Clients**") will be rewarded with prizes ("**Reward**") based on the different tiers set out below:-

Accumulated Coins	Reward
15,000-34,999	RM 500 Shopee Cash Voucher
35,000-64,999	RM 1,000 Petronas Gift Card
65,000-149,999	RM 4,000 Aeon / Parkson Gift Voucher
150,000-299,999	RM 10,000 Sen Heng Voucher
300,000-599,999	RM 20,000 Tomei Jewellery Voucher
600,000 and above	RM 50,000 Cash Voucher for Share Purchase

4. Every Gross Brokerage ("**GB**") generated by Eligible Clients from the trading via their Account within the Campaign Period will be converted into coin, where RM1 of GB is equivalent to 1 coin.

5. Eligible Clients (either new or existing clients) who:-
  - a. open new Account within the Campaign Period (“**New Account**”); and
  - b. perform minimum 1 trade with the New Account within the Campaign Period (“**Bonus Coins Minimum Trade Requirement**”),
 will be rewarded with extra 5,000 coins for each New Account opened (“**Bonus Coins**”).
6. Notwithstanding Clause 5 above, the maximum Bonus Coins to be received by each Eligible Client will be capped at 10,000 Bonus Coins.

For example: During Campaign Period, Mr. A opened the following New Accounts with CGS-CIMB:

New Account Opened	Bonus Coins Minimum Trade Requirement Fulfillment
a) Securitised Trading Account	Yes
b) Margin Trading Account	Yes
c) Margin Lite Trading Account	Yes

Notwithstanding that Mr. A opened three (3) New Accounts and fulfilled the Bonus Coins Minimum Trade Requirement during the Campaign Period, Mr. A will only be entitled to 10,000 Bonus Coins.

7. For the avoidance of doubt, Eligible Clients who close their existing Account and open a new Account of the same category during the Campaign Period shall not be entitled to Bonus Coins, where the categories of Account is specified as below:-

Category	Type of Account
a) Direct Central Depository System (“ <b>CDS</b> ”)	Direct Trading Account
	Securitised Trading Account - Direct
b) Nominee CDS	Securitised Trading Account - Nominee
	Clicks Trader Trading Account
c) Share Margin Financing	Margin Trading Account
d) Margin Lite Financing	Margin Lite Trading Account

8. An Eligible Client is eligible to win only one (1) Reward from this Campaign.
9. Should the Eligible Clients have more than one (1) Account maintained with CGS-CIMB, then all accumulated GB generated through such Accounts will be consolidated and considered as one (1) Account in determining the coins accumulated and the Reward to be won.
10. For avoidance of doubt, the Qualified Clients shall only be allowed to claim the Reward based on the tier of the Accumulated Coins (as set out above) that he or she has earned. Any request to swap or substitute the Qualified Client’s Reward with that of another tier shall not be entertained by CGS-CIMB.

For example: Mr. B achieved an Accumulated Coins of 65,000 and is entitled to Aeon / Parkson Gift Voucher worth RM4,000. Mr. B is not allowed to request to change the Aeon / Parkson Gift Voucher to Petronas Gift Card or any rewards from other tiers.

11. All Qualified Clients of the Campaign will be notified by CGS-CIMB by telephone and/or email based on the contact details maintained in CGS-CIMB’s records.
12. The Reward will be delivered from 1<sup>st</sup> December 2021 onwards.

## **General Terms & Conditions**

13. By participating in the Campaign, all Eligible Clients are deemed to have read, understood and agreed to be bound by these Terms & Conditions and agreed that any decisions of CGS-CIMB in relation to every aspect of the Campaign, including the Reward to be given away and the determination of the Eligible Client, is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.
14. The Qualified Clients shall be responsible for any costs whatsoever incurred in connection with the Reward, including but not limited to transportation from the merchant's office location, all upgrades and alterations, any other third-party costs (commission, ownership transfer, tax, etc).
  - a. The Qualified Clients shall be responsible to pay any taxes payable, incidental cost and/or any other charges relating to any of the Reward unless stated otherwise. CGS-CIMB shall not be held liable for any taxes (including but not limited to Sales Tax and Service Tax ("SST")), incidental cost, charges and/or damage caused by any of the Reward and/or non-fulfillment by any of its agents/distributors.
  - b. The Reward is not transferable or exchangeable for cash or credit or in kind whether in part or in full.
  - c. The Reward may carry their own terms and conditions and these terms and conditions shall be applicable in addition to the terms and conditions contained within. Qualified Clients shall enter into separate agreement with Reward Merchant and/or CGS-CIMB if such arrangement is necessary in enabling the redemption of the Reward.
15. By participating in the Campaign, the Eligible Clients agree to be bound by the Terms and Conditions herein and also the Terms and Conditions governing the Eligible Clients' respective Account with CGS-CIMB.
16. CGS-CIMB reserves the right, without prior notice or assigning any reason whatsoever, to substitute the Reward with other item(s) of the similar value. The Reward under the Campaign does not include any accessories of items shown in any advertisements and/or promotional materials which are for photography purposes only.
17. To the fullest extent permitted by law, CGS-CIMB expressly exclude and disclaim any representations, warranties, or endorsements, express or implied, written or oral, including but not limited to any warranty of quality, merchantability or fitness for a particular purpose in respect of the Reward. Further, no responsibilities are accepted for any additional expenses, omissions, delays, re-routing, or acts of any government or authority.
18. Although CGS-CIMB will endeavour to deliver the Reward promptly, this is subject to availability and accordingly, CGS-CIMB shall not be responsible for any delay nor will be there any compensation payable for any such delays.
19. In no event will CGS-CIMB be liable for any loss or damages (including without limitations, loss of income, profits or goodwill, direct or indirect, incidental consequential, exemplary, punitive or special damages or any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CGS-CIMB has been advised of the possibility of such damages in advance, and all such damages are expressly excluded.
20. CGS-CIMB reserves the right, at its absolute discretion, to:
  - a. extend, modify, shorten, discontinue, cancel, terminate or suspend the Campaign with or without any prior notice. For avoidance of doubt, any extension, modification, discontinuation, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Clients to any claim for compensation against CGS-CIMB or any losses or damages suffered or incurred by the Eligible Clients as a direct or indirect result of the act of extension, modification, discontinuation, cancellation, termination or suspension.
  - b. vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "Amendment") any of the terms and conditions herein at any time. The Amendment shall be effected at CGS-CIMB's absolute discretion through any one of the following means of communication, namely, by email to the Eligible Clients' last known email address or via CGS-CIMB's website and the Amendment shall be deemed as binding on the Eligible Clients as from the date of notification of the Amendment or from such other date as may be specified by CGS-CIMB.
21. These Terms & Conditions, as may be varied or amended from time to time pursuant to Clause 20, shall prevail over any provisions or representations contained in any other promotional materials advertising the Campaign.
22. The Campaign shall any of them be construed as an offer, recommendation or solicitation to buy or sell any securities. All clients are advised to make their own independent evaluation and to consult their professional advisers before undertaking any transaction.

23. All other terms and conditions applicable to general trading in the Account shall continue to apply. Nothing herein shall affect CGS-CIMB's general terms and conditions and/or the Account's terms and conditions to which the Eligible Clients have agreed to.
24. CGS-CIMB will notify all Eligible Clients based on the information supplied by the Eligible Clients and maintained in CGS-CIMB's records. Pursuant to the requirements the Personal Data Protection Act 2010, CGS-CIMB is required to obtain Client's consent for the processing of Client's personal data. Therefore, the Client hereby consents to CGS-CIMB's processing of the Client's personal data which the Client may provide to CGS-CIMB now and from time to time in connection with their applications or participation in the Campaign. The Client hereby confirms that the Client has read, understood and agrees to be bound by the CGS-CIMB Group Privacy Notice (which is available at [www.cgs-cimb.com.my/www.itradecimb.com.my](http://www.cgs-cimb.com.my/www.itradecimb.com.my)) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Client agrees that the said Privacy Notice shall be deemed to be incorporated by reference into these Terms and Conditions.
25. The Qualified Clients agree and authorize CGS-CIMB to disclose their details (including but not limited to name, NRIC number, telephone number, address) to the vendor/distributor/agent who would then contact the Qualified Clients regarding the delivery of their Reward. The Qualified Clients shall be responsible to make the necessary arrangements with CGS-CIMB and/or its distributor/agent for the delivery and/or collection of the Reward. All taxes, charges and incidental costs in this regard shall be borne by the Qualified Clients.
26. CGS-CIMB reserves the right to forfeit the Reward without any prior notice, in the event of:
  - a. Qualified Clients are non-contactable; or
  - b. The Qualified Clients' Account(s) at which the Accumulated Coins are accumulated are closed within the Campaign Period.
27. The Terms and Conditions as set out above shall be governed by the laws of Malaysia. The Eligible Client agrees to submit to the exclusive jurisdiction of the Courts of Malaysia, but CGS-CIMB will be at liberty to proceed against the Eligible Client in any court in any jurisdiction.